**Appendix 2 - Funding application - PN-IV-RU-SC-TE-2023-1**

*The document, is in A4 format, uses Times New Roman font, 12 font size, 1.15 line spacing and 2 cm margins. Any modification to these parameters is forbidden (excepting the figures and their captions). The explanatory text is preserved. The imposed number of pages (Section C – Funding application) does not contain the requested Budget (Section C6) and Bibliographic references (Section C7), these will be written on additional pages. For each section, the text marking the information and the mandatory sections of the application will be maintained.*

***B. Project leader***

Name:

Surname:

***B1. Important scientific achievements of the Project leader (maximum 2 pages)***

*The most important contributions of the Project leader in her/his research domain will be presented (e.g. development of new research directions, elaboration of research theories, methods, strategies and techniques, findings or results that have significantly led to a better knowledge in the domain, demonstrated through reference publications and patents).*

***B2. The visibility and the impact of the scientific contribution of the Project leader***

1. *Share of articles published in Q1 (red zone)/Q2 (yellow zone) journals* *according to AIS from the total number of published articles. For the Humanities 1) the share of publications published abroad from the total number of publications and 2) the share of publications from publishing houses and in classified A and B journals from the total number of publications published in Romania (Appendixes 7a, 7b), will also be mentioned;*
2. *For Natural Sciences, Exact Sciences, Engineering Sciences and Social and Economic Sciences, the total number of citations and the average of citations per publication (without self-citations), according to the Web of Science Core Collection/Scopus; a maximum of 10 citations that the Project leader considers relevant will be indicated, and in the case of the Humanities, the relevant reviews of the Project leader's books/volumes will also be indicated (maximum 10 together with the relevant citations);*
3. *Hirsch Index according to the Web of Science and Hirsch Index (without self-citation) according to Scopus;*
4. *Personal link from the platform at* [*https://www.brainmap.ro/*](https://www.brainmap.ro/)*;*
5. *The address of the profile from at least one of the following sites will be indicated: Scopus Author ID, ORCID, Researcher ID, Google Scholar, MR Author ID;*
6. *A maximum number of 10 representative scientific results from the domain of the project proposal will be indicated (according to the list of domains within which the projects are ranked, Appendix 5), regardless of their type (articles, book chapters, monographs, patents or any other result considered relevant by the Project leader). If more than 10 scientific results are indicated in this section, the project proposal will be excluded from the competition.*

*Publications accepted for publication can also be indicated, specifying the date of acceptance. The evaluation will mainly focus on publications in which the Project leader is the main author. If there are publications published as a co-author that the Project leader considers relevant for her/his scientific production, they can be indicated.*

*The presentation format is the following:*

|  |  |
| --- | --- |
| **Identification data:** | Authors. *Title of the article*, Title of the Journal/Conference, Volume, Pages (year of publication), category (according to AIS Q1; Q2 etc). *Note: For the Humanities the number of libraries from the online catalogues (without the online bookstores) will be indicated for the articles published in collective volums at publishing houses from other EU member states or OECD member states, with the respective CNCS category for articles published in journals/collective volumes at publishing houses from Romania.* |
| **Is she/he the main author?** | YES/NO (according to Appendix 6) |
| **Is it in the project domain?** | YES/NO |
| **Number of citations:** | *According to the Web of Science Core Collection* (see Appendix 6)**Self-citations** will be **excluded**, according to the Web of Science *Core Collection*. |
| **DOI** **(Digital Object Identifier):** | *Optional* |

***Monographs/critical editions of sources/collective volumes/dictionaries/encyclopedias/catalogues:*** *Only publications published by publishing houses from other EU member states or OECD member states will be indicated, with at least 30 entries in libraries from online catalogues (without online bookstores): for the Humanities the publications published by publishing houses from Romania from Category A and B according to the CNCS classification and from the Republic of Moldova* *will also be indicated. School or university textbooks are not taken into account even if they are published to prestigious international publishing houses.*

*The presentation format is as follows:*

|  |  |
| --- | --- |
| **Identification data:** | Authors. *Book title*, Publishing house title (year of publication) |
| **Is it in the project domain?** | YES/NO |
| **Number of libraries according** to the online catalogues (without online bookstores): |  |
| **University libraries:** | Names of the most important university libraries (maximum 10) that purchased the monograph (according to the online catalogues (without online bookstores) or according to the library catalogue). |

***Patents:*** *The patents/utility models especially those with techonogical transfer obtained in other countries from the EU or in OECD member countries will be indicated.*

*The presentation format is the following:*

|  |  |
| --- | --- |
| **Identification data:** | Authors. *Title of the patent/utility model* (granting year) |
| **Issuing patent bureau:** |  |
| **Is it in the project domain?** | YES/NO |

***Notes:***

*Providing false information in Section B2 will lead to the exclusion of the project proposal from the competition.*

*Section B2 of the application will be public. This will be uploaded to the submission platform, both as an integrated part of the funding application as well as filled in on the submission platform.*

***B3. The correspondence between the demonstrated experience of the Project leader and the proposed theme (max. 1 page).***

*The relevancy of the scientific experience of the Project leader (demonstrated by her/his publications) for the theme of the proposed project* *will be underlined.*

***B4. Curriculum Vitae (max. 2 pages)***

**C. The funding application (max. 12 pages, C1 – C5)**

*In this chapter, the scientific context, goal, objectives, how the objectives will be implemented (project activities), deliverables and necessary resources* *will be mentioned in detail.*

**C1. Motivation of the proposed theme in the current scientific context. Originality and degree of innovation**

*The scientific motivation of the project theme* *will be justified, by delimiting the approached issue in the current scientific context. The following two aspects will be highlighted: (1) the importance of the issue from a scientific, technological, socio-economic or cultural point of view (according to the case and depending on the domain of the project), the difficulty elements of the issue, the limitations of current approaches, by analyzing the current state of knowledge related to the theme of the project; (2) the elements of originality and innovation that the proposed project brings to the domain, related to the current state of knowledge. If the proposed theme has been addressed in previous projects, their details will be indicated (the funder, title and project code, website, obtained results, the grade obtained at the final evaluation) and the novelty elements in relation to previous studies will be clearly mentioned.*

**C2. Objectives, methodology and work plan**

*The approach of the project at the principle and theoretical level will be presented, by highlighting the following three aspects: (1) the concrete objectives of the project; (2) the proposed work strategy, including investigation methods, models, directions, techniques and tools; (3) a work plan, staggered in time, which includes all activities, results (deliverables) for each activity, the delivery deadlines for the results that will describe the organization of the project, in relation to the proposed objectives. A Gantt chart of the project* *will be added. If funded, the implementation plan of the project will contain (only) the activities that were presented in the funding application, without including other activities or sub-activities.*

**C3. Project feasibility: available resources and research team structure**

*Both the existing resources in the host institution that are relevant for the implementation of the project will be presented (the link from the platform* [*https://erris.gov.ro*](https://erris.gov.ro) *will be indicated), as well as the necessary ones that will be purchased within the project. In particular, the following aspects shall be specified: (1) the estimation of the time allocated to the project by each member of the project team (including the Project leader), in months/member units, in accordance with the work plan presented in section C2; (2) the motivation of the adequacy of the project team and the research infrastructure available to meet the project objectives in the allocated time; for the vacancies, the expected competencies will be briefly described; (3) the preliminary results that support the working hypothesis of the project (the lack of preliminary results does not constitute a reason for downgrading the score, but their presence can be considered an argument in favor of the feasibility of the project and can be scored).*

**C4. Risks and alternative approaches**

*The potential risks that could affect the smooth implementation of the project will be described as well as the approaches through which these risks would be addressed. The risks will be estimated and alternative solutions will be presented.*

**C5*.* Impact and dissemination**

*The expected impact of the project in the wider scientific domain framework will be discussed, with emphasis on the following aspects: (1) the estimated scientific results of the project, specifying the expected result indicators; (2) the potential impact of the project on the host institution and the project team; (3) the impact over the scientific, technological, social, economic or cultural environment (if they are relevant to the domain or theme of the project); (4) the concrete elements of the strategy for dissemination and communication of the scientific results; (5) how "open publication" practices will be used to disseminate the results.*

**C6. Requested budget *(except the 12 pages)***

*The following aspects will be presented in detail: (1) the distribution of the budget by types of expenses and by project years must be indicated and motivated; (2) justification of the purchase of new equipment with a value higher than 75.000 lei (price without VAT), by referring to the project objectives; (3) the minimum number of hours/month to be dedicated to the project for the Project leader will be specified. The types of expenses on which the budget is distributed are: personnel expenses, logistics expenses, travel expenses and indirect expenses (overheads).*

*Section C6 will not receive a score in the evaluation, but the expert evaluators' comments associated with this sub-criterion will be used, if the project will be funded, in the negotiation and contracting process.*

Pre-calculation estimate (in lei, per calendar year):

|  |  |  |  |
| --- | --- | --- | --- |
| **Budget chapter** | **Year I****(lei)** | **Year II****(lei)** | **Total budget****(lei)** |
| **Personnel expenses** |  |  |  |
| **Logistics expenses** |  |  |  |
| Out of which the value for the equipment expenses |  |  |  |
| **Travel expenses** |  |  |  |
| **Indirect expenses** |  |  |  |
| **Total** |  |  |  |

Pre-calculation estimate (in Euro, at the project level):

|  |  |
| --- | --- |
| **Budget chapter** | **Total budget****(euro)** |
| **Personnel expenses** |  |
| **Logistics expenses** |  |
| Out of which the value for the equipment expenses |  |
| **Travel expenses** |  |
| **Indirect expenses** |  |
| **Total** |  |

**C7. Bibliography (except the 12 pages)**